



JUNE 15, 2020

ASES 2020 MCO PHASE OUT
AUTO-ENROLLMENT ALGORITHM
METHODOLOGY
REFERENCE GUIDE

ASES – INFORMATION SYSTEMS DEPARTMENT



- **PREPARE REFERENCE INFORMATION – STEP 0**

- **PCP Updates** – Information requested from the **Source MCO** to have the latest enrollment information for analysis and assignment. This is crucial for members assigned to the **Source MCO** that have not yet been assigned a **Primary Care Physician**.
- **Short Net (Abridged Network) Files** – Up to date provider network information in requested from all (**Source and Destination**) **MCOs**. This format includes information geared towards establishing a sound base for enrollee reassignment.
- **ASES Enrollment Data** – Current official enrollment data extracted from the **ASES Enterprise System**.
- **Mailing Group Data** – Data requested from the **Source MCO** which will help relate members in terms of residence.
- **Member Rate Cell & CMS Data** – This data will come from **ASES’s Member Rate Cell TBQ Table** which comprises the **HCHN Rate Cell Classification Data, CMS Data** which entails **Platino Program** and **Dual Eligibility** classifications and **General Rate Cell Classification Data**.
- **MCO Population Capacity** – Determined gross capacity for each **Destination MCO**.
- **Provider Population Capacity** – Gross capacity required by regulation.
- **MCO Default Ranking** – Default enrollment attempt order in case a tie breaker among **Destination MCOs** at any given round is needed.
- **Special Coverage Population Data** – Identification data for beneficiaries receiving special coverage.

- **AUTO ENROLLMENT POPULATION IDENTIFICATION – STEP 1**

- Identifies members enrolled in the **Source MCO**.

- **MEMBER SEED SET ASSIGNMENT – STEP 2**

- Classifies members in handling groups according to the enrollee’s eligibility termination date.
 - Sorted by **Medicaid Cancellation Date & Eligibility Expiration Date**

Member Seed Set Group	Termination Month
A	January
B	February
C	March
D	April
F	May
G	June
H	July
I	August
J	September
K	October
L	November
M	December

- **PRIORITY INFORMATION INTEGRATION – STEP 3**

- **High Cost High Need Population** identification
- **General Rate Cell** assignment
- **Special Coverage Population**
 - Identification
 - Provider Information Integration

Note: Assignment priority is described in Step 8

- **MEMBER GROUPS ANALYSIS RESULTS INTEGRATION – STEP 4**

- Preparation Analysis
 - Integrate **Mailing Group Data** from **Source MCO**.
 - Analyze same **Contact Member** members information.
 - Analyze same **Address** members information.
 - Perform any other related analyses.
- Results Integration
 - **Member Group Identifier** assignment
- Member Groups Enrollees' Analysis
 - **Top Assigned Rate Cell Priority Rank** within each **Member Group**
 - **Members Amount** within each **Member Group**
 - **High Cost High Need Members Amount** within each **Member Group**
 - **Dual Eligible Part A and B Members Amount** in each **Member Group**
 - **Dual Eligible Part A Members Amount** in each **Member Group**
 - **Special Coverage Members Amount** in each **Member Group**
 - **Member Seed Set Amounts** in each **Member Group**

- **MCO AUTO ENROLLMENT PARAMETERS INITIALIZATION – STEP 5**

- MCO Gross Population Capacities
 - Considers **RBCs** and **Destination MCOs Self-Imposed Maximum Population** and uses the lower of them.
- Capacity Buffer
 - **Twenty Percent** (20%) approximately
 - Results in **Destination MCOs' Net Population Capacity**
 - Allows for **Destination MCOs'** population absorption after **Go-Live**
- Net Population Capacity
 - Limits assignment of enrollees for the **Destination MCOs**
- Miscellaneous Control Parameters
 - Initialized to the algorithm starting values

- **PCP/PMG ASSIGNMENT PRESERVATION PARAMETERS INITIALIZATION – STEP 6**
 - **PCP Updates** Information Integration
 - **Current PCP/PMG Enrollments to Destination MCOs Networks** Matches' Analysis
 - **PCP Population Capacities**
 - No **PCP Capacity Buffer**

- **FINAL PREPARATIONS AND BEGINNING OF THE AUTO ENROLLMENT – STEP 7**
 - **Member Groups Data** summary for auto enrollment

- **AUTO ENROLLMENT MAIN PROCESS (STEP 8)**
 - Priority Groups Hierarchy
 - **Dual Eligible Part A and B**
 - Population Advantage Threshold: 10
 - **Dual Eligible Part A**
 - Population Advantage Threshold: 10
 - **High Cost High Need**
 - Population Advantage Threshold: 50
 - **Special Coverage**
 - Population Advantage Threshold: 10

 - Auto Enrollment Main Process
 - General Population Advantage Threshold: 150
 - Member Seed Sets Advantage Threshold: 100
 - Process **Order of Execution**
 - **Member Groups** Layer (First Layer)
 - Selects **Next Member Group** as the **Current Member Group**.
 - Uses results from the **Member Groups Enrollees' Analysis** in STEP 4.
 - **Members in Current Member Group Analysis** Layer (Second Layer)
 - Individual Auto Enrollment Analysis for **Members in Current Member Group**
 - Performs the **Destination MCOs** and **PCPs Population Capacity** tracking.

- Performs the **Network Auto Enrollment Coverage Assessment** for each of the **Members in Current Member Group**
 - First Round **Destination MCOs** – Matches **PMG, PCP1, PCP2** and **Special Coverage Provider** for the member.
 - Second Round **Destination MCOs** – Matches **PMG, PCP1** and **Special Coverage Provider** for the member.
 - Compiles a **Network Auto Enrollment Coverage Summary** for each of the **Members in Current Member Group**.
- Auto Enrollment Analysis for **Current Member Group**
 - **Current Member Group** Coverage Assessment
 - Uses results from the **Network Auto Enrollment Coverage Assessment** for each of the **Members in Current Member Group** and produces network coverage factors for the **Current Member Group**
 - These factors influence the **Effective MCO Auto Enrollment Attempt Order** for **Members in Current Member Group**.
 - Performs the **Current Member Group** Auto Enrollment Execution
 - Attempts to Auto Enroll the **Members in Current Member Group**
 - Uses Results from the Auto Enrollment Analysis for **Members in Current Member Group**.
 - Checks Thresholds Compliance
 - Adjusts the **Effective MCO Auto Enrollment Attempt Order** for the **Members in Next Member Group**.